

Do low-complexity aperiodic SFTs exist?

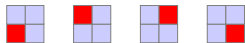
Problem posed by: Jarkko Kari
University of Turku

Presented by: Siamak Taati
American University of Beirut

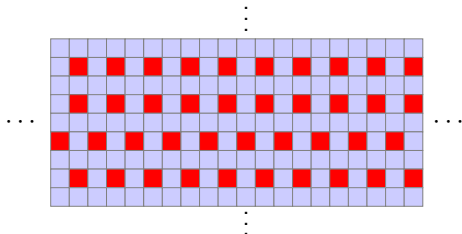
Expanding Dynamics — October 2020

A familiar question

Four patterns

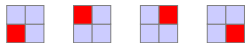


A consistent configuration

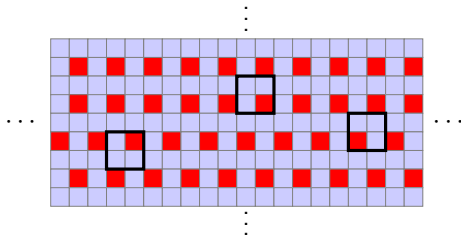


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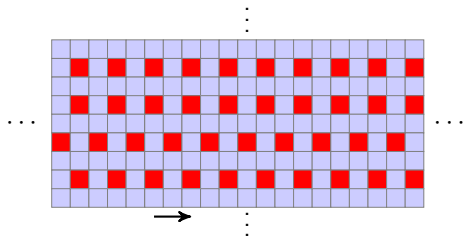


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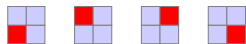
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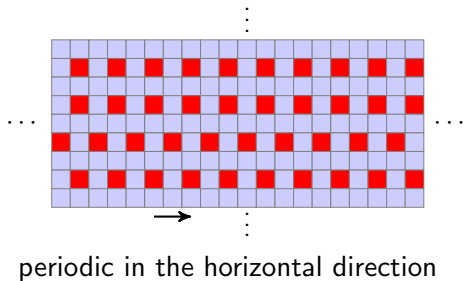
periodic in the horizontal direction

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Four patterns



A consistent configuration



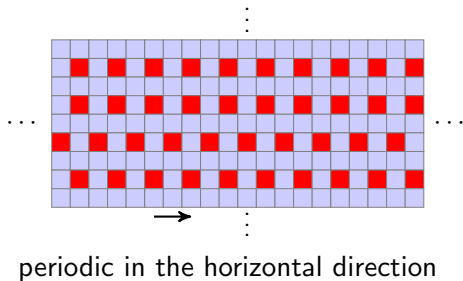
Question: Do non-periodic consistent configurations exist?

A familiar question

Four patterns



A consistent configuration



Question: Do non-periodic consistent configurations exist?

Nivat's conjecture (1997)

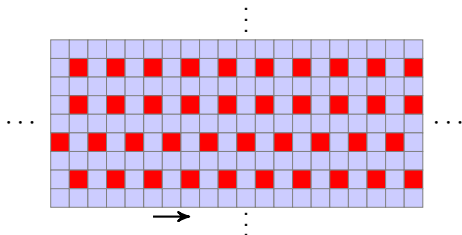
Every infinite configuration consistent with $\leq mn$ patterns with m -by- n rectangular shape is periodic in at least one direction.

A familiar question

Four patterns



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Nivat's conjecture (1997)

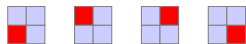
Every infinite configuration consistent with $\leq mn$ patterns with m -by- n rectangular shape is periodic in at least one direction.

► True in dimension $d = 1$

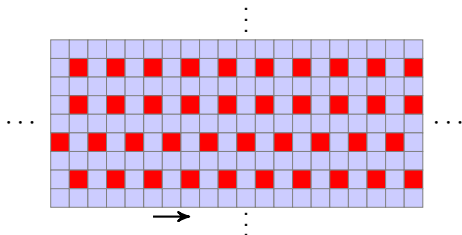
[Morse and Hedlund, 1938]

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A consistent configuration



Nivat's conjecture (1997)

Every infinite configuration consistent with $\leq mn$ patterns with m -by- n rectangular shape is periodic in at least one direction.

- ▶ True in dimension $d = 1$
- ▶ Not true in dimensions $d \geq 3$

[Morse and Hedlund, 1938]

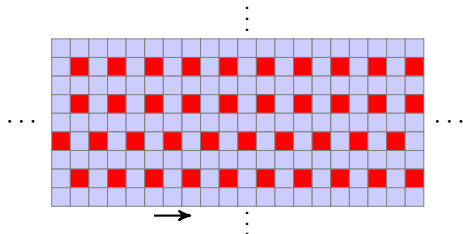
[Cassaigne, 1999]

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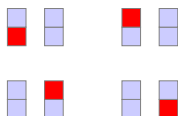
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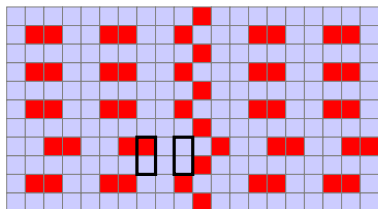
- ▶ True in dimension $d = 1$ [Morse and Hedlund, 1938]
- ▶ Not true in dimensions $d \geq 3$ [Cassaigne, 1999]
- ▶ Not true for arbitrary shapes [Cassaigne, 1999]

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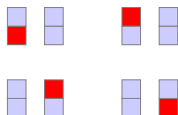
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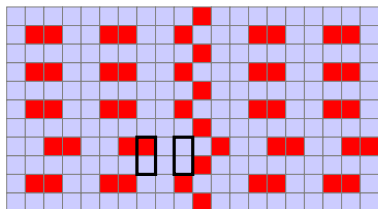
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- ▶ True in dimension $d = 1$
- ▶ Not true in dimensions $d \geq 3$
- ▶ Not true for arbitrary shapes
- ▶ ... but perhaps for convex shapes?

[Morse and Hedlund, 1938]

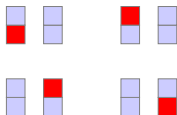
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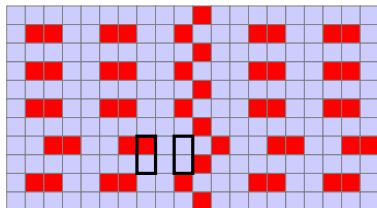
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A related question

Four patterns

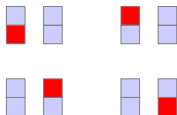


A consistent configuration

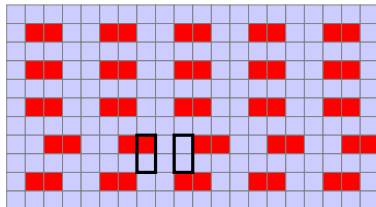


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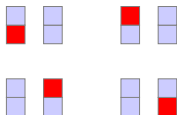


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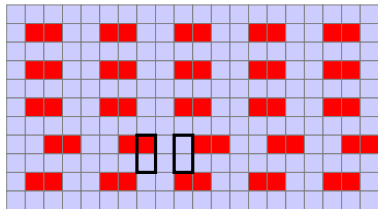


A related question

Four patterns



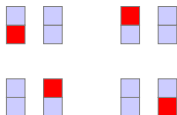
Another consistent configuration



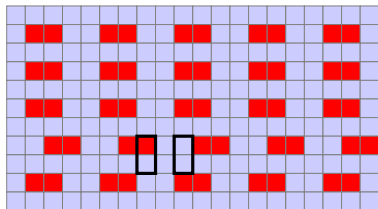
periodic in the horizontal direction

A related question

Four patterns



Another consistent configuration



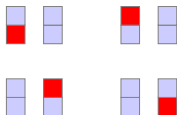
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Jarkko's question

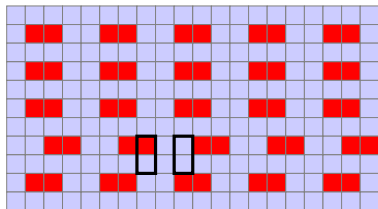
Does every consistent list of n patterns with the same n -cell shape admit a consistent *periodic* configuration?

A related question

Four patterns



Another consistent configuration



periodic in the horizontal direction

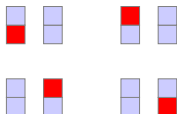
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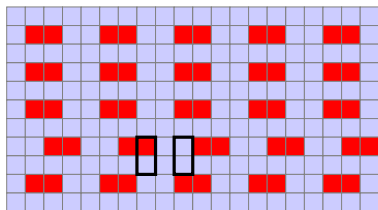
- ▶ The shape is arbitrary!

A related question

Four patterns



Another consistent configuration



periodic in the horizontal direction

Jarkko's question

Does every consistent list of n patterns with the same n -cell shape admit a consistent *periodic* configuration?

- ▶ The shape is arbitrary!
- ▶ Any number of dimensions!

Broader scenario

a shape

$$D = \text{[shape]} \in \mathbb{Z}^d$$

a collection of patterns

$$\mathcal{P} = \left\{ \begin{array}{c} \text{[red and blue squares]} \\ \text{[red and blue squares]} \\ \text{[red and blue squares]} \end{array} , \dots , \begin{array}{c} \text{[red and blue squares]} \\ \text{[red and blue squares]} \\ \text{[red and blue squares]} \end{array} \right\} \subseteq \Sigma^D$$

an alphabet

Broader scenario

a shape

$$D = \text{[shape]} \in \mathbb{Z}^d$$

a collection of patterns

$$\mathcal{P} = \left\{ \text{[pattern 1]}, \text{[pattern 2]}, \dots, \text{[pattern n]} \right\} \subseteq \Sigma^D$$

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an SFT

$$X_{\mathcal{P}} = \{\text{all } \mathbb{Z}^d\text{-configurations consistent with } \mathcal{P}\}$$

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Q1

Is $X_{\mathcal{P}}$ non-empty?

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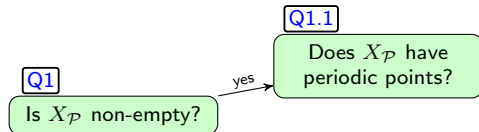
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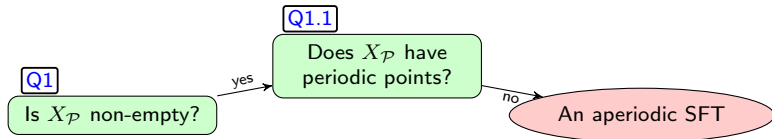
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$$X_{\mathcal{P}} = \{\text{all } \mathbb{Z}^d\text{-configurations consistent with } \mathcal{P}\}$$

Q1
Is $X_{\mathcal{P}}$ non-empty?

Q1.1
Does $X_{\mathcal{P}}$ have periodic points?

Q1.1.1
Does $X_{\mathcal{P}}$ consist only of periodic points?

An aperiodic SFT

Broader scenario

a shape

$$D = \text{[shape]} \in \mathbb{Z}^d$$

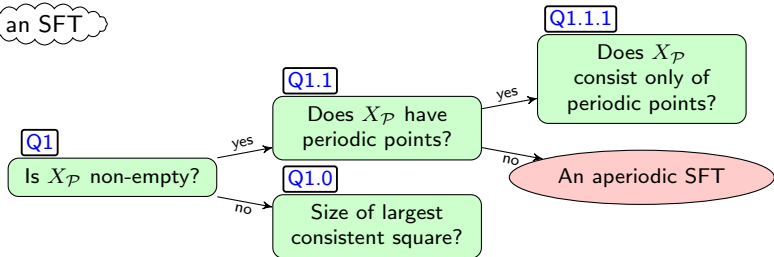
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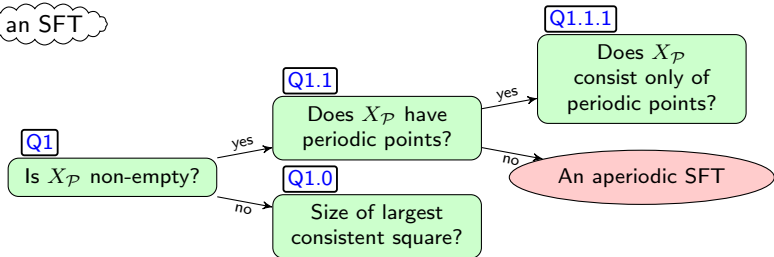
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In dimension $d = 1$: All questions have simple answers.

- ▶ Q1, Q1.1.1, Q1.0 have simple algorithms. [e.g., via de Bruijn graph]
- ▶ The answer to Q1.1 is always positive.

Broader scenario

a shape

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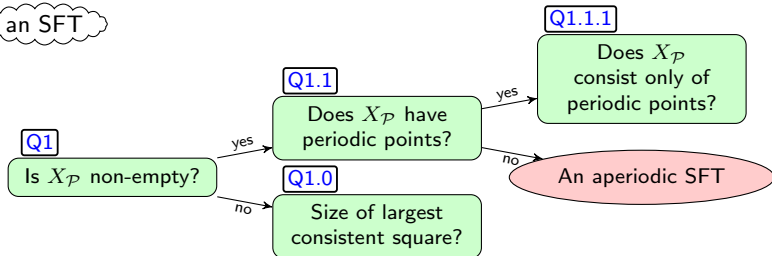
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an SFT

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In dimensions $d \geq 2$: All questions are algorithmically unsolvable.

- ▶ **Q1**, **Q1.1**, **Q1.1.1** are algorithmically undecidable.
- ▶ There is no computable bound for **Q1.0**.

Restricted variants

a shape

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Questions: What if $|\mathcal{P}| \leq |D|$?

[the *low-complexity case*]

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[the *low-complexity* case]

Nivat's question ($d = 2$)

Assuming $|\mathcal{P}| \leq |D|$ and D a rectangle (or convex),
is every consistent configuration periodic?

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Jarkko's question ($d \geq 2$)

Assuming $|\mathcal{P}| \leq |D|$ and \mathcal{P} consistent,
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Restricted variants

Questions: What is special about the threshold $|\mathcal{P}| \leq |D|$?

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Dichotomy in dimension $d = 1$:

- Morse–Hedlund: if $|\mathcal{P}| \leq |D|$, then every consistent configuration is periodic.
- Sturmian configurations are non-periodic yet $|\mathcal{P}| = |D| + 1$ for every interval D .

(Recall: answer to [Q1.1](#) is always positive in dimension 1.)

Restricted variants

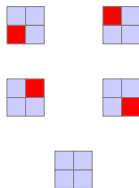
Questions: What is special about the threshold $|\mathcal{P}| \leq |D|$?

In dimension $d = 2$:

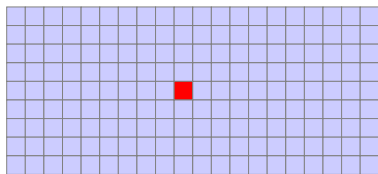
Nivat's conjecture if true would be optimal!

→ Cassaigne (1999): A non-periodic example with $|\mathcal{P}| = |D| + 1$

Five patterns



A non-periodic consistent configuration



Restricted variants

Questions: What is special about the threshold $|\mathcal{P}| \leq |D|$?

In dimension $d = 2$:

Jarkko's conjecture if true would be *almost* optimal!

- Kari (2020): For every $\varepsilon > 0$, question **Q1** remains undecidable among instances where D is a rectangle and $|\mathcal{P}| \leq (1 + \varepsilon) |D|$.
- If the answer to Jarkko's question is "Yes", then **Q1** will be decidable for instances with $|\mathcal{P}| \leq |D|$.

[Simply run the two semi-algorithms in parallel!]

Restricted variants

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Jarkko's question (variant)

Assuming $|\mathcal{P}| \leq |D| + k$ and \mathcal{P} consistent, is there a consistent periodic configuration?

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Jarkko's question (variant)

Assuming $|\mathcal{P}| \leq |D| + k$ and \mathcal{P} consistent,
is there a consistent periodic configuration?

Note: Every aperiodic SFT gives a bound on k , above which the answer is negative. [e.g., negative if $k \geq 111$ based on Jeandel–Rao]

Low complexity terminology

For a configuration x :

$$L_D(x) := \{\text{all } D\text{-shaped patterns occurring in } x\}$$

Let us say x has **low D -complexity** if $|L_D(x)| \leq |D|$.

For a subshift X :

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Every configuration which has low complexity w.r.t. a rectangle is periodic.

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Nivat's conjecture ($d = 2$)

Every configuration which has low complexity w.r.t. a rectangle is periodic.

Jarkko's question ($d \geq 2$)

Does there exist an aperiodic SFT that has low complexity w.r.t. some shape?

What is known?

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Nivat's conjecture ($d = 2$)

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- (N2) Kari & Szabados (2015): If a configuration has low complexity w.r.t. infinitely many rectangles, then it is periodic.
- (N3) Kari & Moutot (2019): If $|\mathcal{P}| \leq |D|$ and D convex, then every consistent *uniformly recurrent* configuration is periodic.

[Note: If D is not convex, then there are counter-examples.]

What is known?

Jarkko's question

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Jarkko's question

(K1) Corollary of (N3): If $|\mathcal{P}| \leq |D|$, \mathcal{P} consistent, D convex and $d = 2$, then there is a consistent periodic configuration.

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Argument. If x is consistent with \mathcal{P} , then its orbit closure contains a uniformly recurrent configuration. \square

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\rightarrow In $d = 2$: The case of non-convex shapes remains open.

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→ In $d > 2$: Both variants of the question remain open (even for convex D).

(K2) Connection with tilings with polyominoes ...

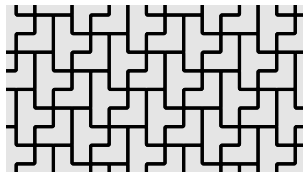
Tiling with polyominoes

A set of polyominoes

$$\mathcal{T} = \left\{ \begin{array}{c} \text{+} \\ \text{L} \end{array} \right\}$$

(allowed to be disconnected)

A tiling of \mathbb{Z}^d



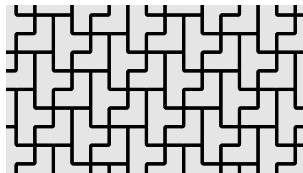
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(allowed to be disconnected)

A tiling of \mathbb{Z}^d



periodic in the horizontal direction

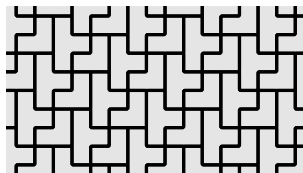
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(allowed to be disconnected)

A tiling of \mathbb{Z}^d



→
periodic in the horizontal direction

Polyominoes can be encoded by allowed patterns and vice versa.

In particular, questions [Q1](#), [Q1.1](#), [Q1.1.1](#), [Q1.0](#) have equivalent forms in terms of tilings with polyominoes.

These questions are (by equivalence) undecidable/uncomputable.

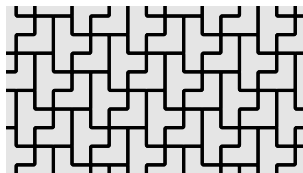
Tiling with polyominoes

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(allowed to be disconnected)

A tiling of \mathbb{Z}^d



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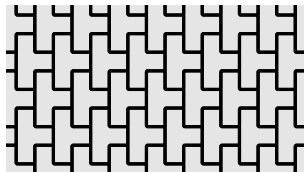
Question: What about restricted variants?

Tiling with a single polyomino

A polyomino

$$F = \text{[T-shaped polyomino]} \in \mathbb{Z}^d$$

A tiling of \mathbb{Z}^d

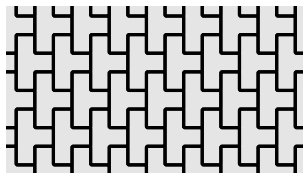


Tiling with a single polyomino

A polyomino

$$F = \text{⊕} \in \mathbb{Z}^d$$

A tiling of \mathbb{Z}^d



Periodic polyomino tiling conjecture

If a polyomino $F \in \mathbb{Z}^d$ can tile \mathbb{Z}^d , then it can also tile \mathbb{Z}^d periodically.

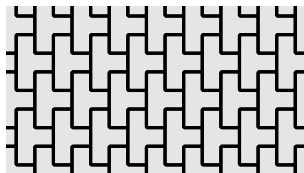
[i.e., periodic in at least one direction]

Tiling with a single polyomino

A polyomino

$$F = \text{[shape]} \in \mathbb{Z}^d$$

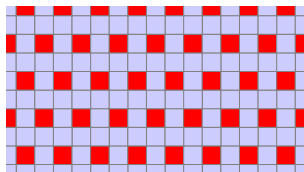
A tiling of \mathbb{Z}^d



Four patterns



A consistent configuration



There is a correspondence:

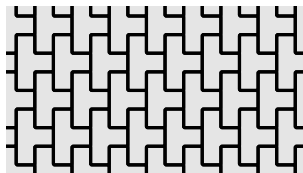
one polyomino $F \longleftrightarrow$ low complexity w.r.t. $D := -F$

Tiling with a single polyomino

A polyomino

$$F = \text{[T-shaped polyomino]} \in \mathbb{Z}^d$$

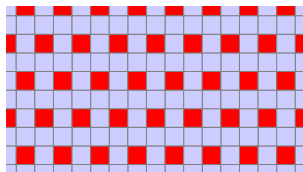
A tiling of \mathbb{Z}^d



Four patterns



A consistent configuration



Hence, the periodic polyomino tiling question is a special case of Jarkko's question.

Tiling with a single polyomino

A polyomino

$$F = \text{[Cross Polyomino]} \in \mathbb{Z}^d$$

Four patterns



What is known?

Tiling with a single polyomino

A polyomino

$$F = \text{[shape]} \in \mathbb{Z}^d$$

Four patterns



What is known?

(P1) Szegedy (1998); Kari & Szabados (2015): If $|F|$ is prime, then every tiling is periodic.

Tiling with a single polyomino

A polyomino

$$F = \text{[Cross shape]} \in \mathbb{Z}^d$$

Four patterns



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- (P2) Bhattacharya (2016): In $d = 2$, if a tiling exists, then a periodic tiling exists.

Tiling with a single polyomino

A polyomino

$$F = \text{[shape]} \in \mathbb{Z}^d$$

Four patterns



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The END.