Ergodicity of cellular automata subject to noise

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Challenge in building computers

- ▶ Transient errors due to thermal noise are inevitable.
- ▶ The smaller the scale, the more important the effect of noise.
- In a lengthy computation, the errors may propagate.

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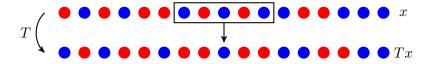
A simple model of (parallel) computation

[cf. Turing machine]

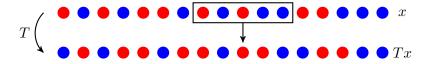


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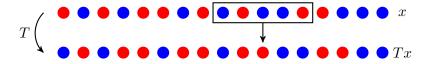
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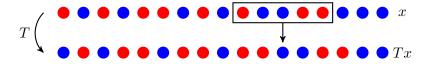
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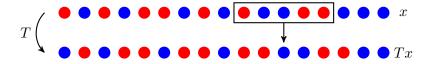
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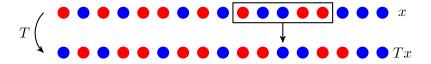
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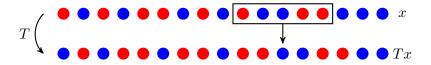


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- ► Iterate! [Same local rule at every time step!]



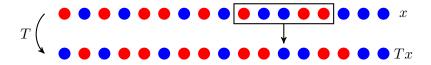
CA are discrete-time dynamical systems

- ▶ The set of all configurations *x* is a compact metric space!
- ▶ The global transformation $x \mapsto Tx$ is continuous!



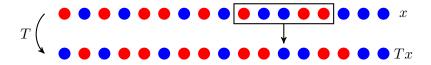
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- ightharpoonup The set of all configurations x is a compact metric space!
- ▶ The global transformation $x \mapsto Tx$ is continuous!
- ⇒ We can exploit the machinery of dynamical systems and ergodic theory!



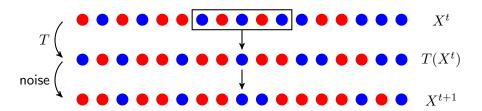
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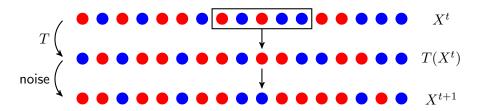
- Finite number of possible states at each site
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- Reversibility and conservation laws can be easily implemented.
- Convenient for mathematical reasoning about physical implementations of computation.



Cellular automata subject to noise

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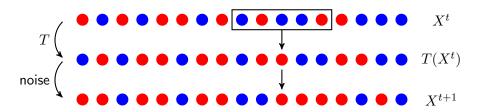
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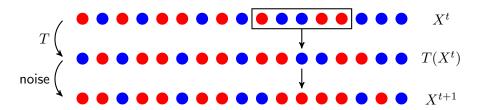
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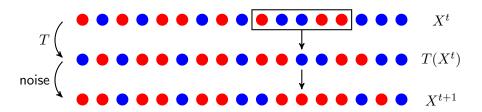
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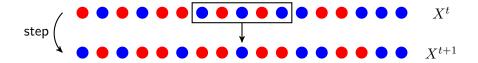
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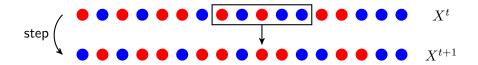
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[Various models of noise possible!]

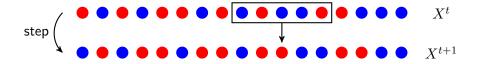
→ A special type of probabilistic cellular automaton (PCA).



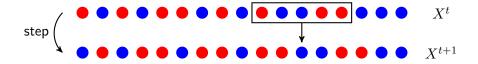
- ► The local rule is probabilistic! [Described by a stochastic matrix]
- Symbols at different sites are updated independently.



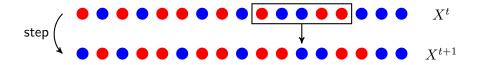
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PCA are similar to CA, except that

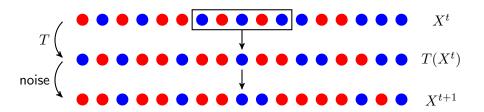
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PCA are discrete-time Markov processes

- ▶ The state at time t is a random configuration X^t .
- ► The transition kernel has the Feller property.

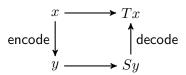
[Discrete-time variants of interacting particle systems]

Computing with noisy CA



Problem (Reliable simulation)

Can we "simulate" a CA T with another CA S that is "reliable against sufficiently weak noise"?



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A simpler prerequisite:

Problem (Remembering a bit)

Find a CA that, in presence of sufficiently weak noise is cable of "remembering" at least 1 bit of information indefinitely!

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Precise formulation in the language of Markov processes:

Problem (Ergodicity of noisy CA)

Find a CA that, in presence of sufficiently weak noise remains non-ergodic!

[Ergodicity: having a unique stationary measure that attracts every trajectory]

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- ► Toom (1974, 1980): a broad family of examples of CA in two and higher dimensions that remain non-ergodic in presence of noise.
- Sács and Reif (1988): every d-dimensional CA can be reliably simulated by a (d+2)-dimensional CA.

[3d reliable computer not practical!]

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[Very sophisticated construction with astronomical number of symbols!]

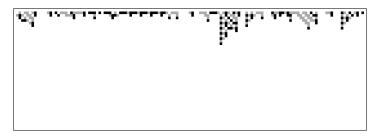
Idea: Approach the problem from the other side in order to narrow down the search.

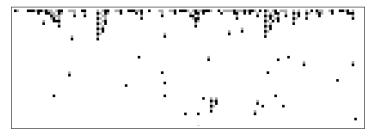
Problem (Sufficient conditions for ergodicity)

Identify dynamical/combinatorial properties for the CA that ensure the ergodicity of the noisy version.

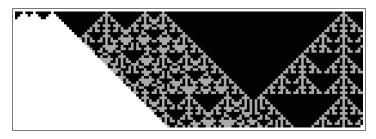
[A reliable CA should avoid those!]

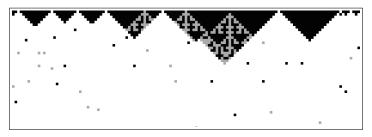
Example 1 (A nilpotent CA)



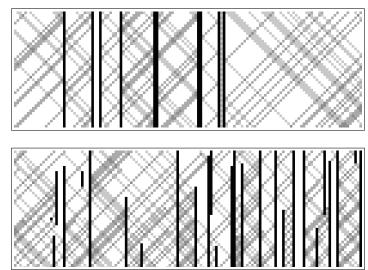


Example 2 (A CA with spreading symbol)

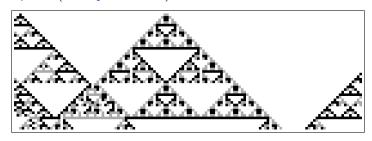


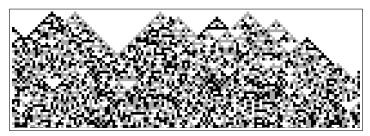


Example 3 (An almost equicontinuous CA)



Example 4 (A surjective CA)





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Remarks

Most CA expected to be ergodic in presence of positive noise.

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- ► Nevertheless, proving/disproving ergodicity often quite difficult. [equilibrium statistical mechanics at low temperature] [algorithmically undecidable?]

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- ▶ Different mechanisms for ergodicity.

Summary of results [Marcovici, Sablik, T. (2017)]

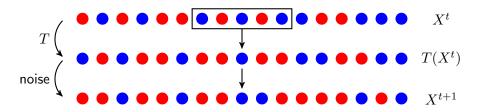
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I	Any CA	High noise
II	Nilpotent	Small perturbation
III	CA with spreading symbol	Memoryless noise
IV		Small positive perturbation
	(1d with $\mathcal{N}=\{0,1\}$)	
V	Gliders with annihilation	Birth-death noise
VI	Simple gliders with	
	reflecting walls	
VII	Permutive	Permutation noise
VIII	Surjective	Additive noise
IX	XOR	Zero-range
X	Binary CA with	Zero-range
	spreading symbol	(75% of parameter range)

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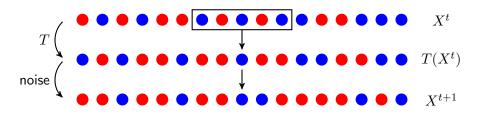
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Terminology

- ightharpoonup Surjective CA: The global map T is onto.
- ► <u>Additive noise</u>: Noise adds a random value to current value, independently at each site. [modulo |Σ|]



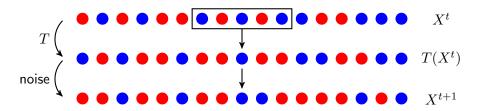
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Remark

Both a surjective CA and an additive noise preserve the uniform Bernoulli measure.





Theorem [Marcovici, Sablik, T. (2017) and Markovici, T. (2018)]

Every perturbation of a surjective CA with a positive additive noise is ergodic with the uniform Bernoulli measure as its invariant measure.

[Convergence is exponentially fast!]

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[see Aharonov, Ben-Or, Impagliazzo, Nisan (1996)]

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[see Aharonov, Ben-Or, Impagliazzo, Nisan (1996)]

Practical implication

In order to implement noise-resilient (CA-like) computers, some degree of irreversibility is necessary.

[see Bennett (1982) and Bennett and Grinstein (1985)]



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Proof idea.

Ergodicity is due to the accumulation of information.

Use entropy to measure the amount of information.

Theorem [Marcovici, Sablik, T. (2017) and Markovici, T. (2018)]

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Use entropy to measure the amount of information.

The entropy of a discrete random variable A is

$$H(A) := -\sum_{a} \mathbb{P}(A = a) \log \mathbb{P}(A = a)$$
.

It measures the average information content of A.

Theorem [Marcovici, Sablik, T. (2017) and Markovici, T. (2018)]

Every perturbation of a surjective CA with a positive additive noise is ergodic with the uniform Bernoulli measure as its invariant measure.

[Convergence is exponentially fast!]

Proof ingredients.

- a) A surjective CA does not "erase" entropy, only "diffuses" it.
- b) Additive noise increases entropy. [Sharp estimate needed!]

For each finite set of sites J and each time step $t \ge 0$, we find

$$H(X_J^t) \ge \left[1 - (1 - \kappa)^t\right] |J| \hbar - O(|\partial J|)$$

where $\hbar \coloneqq \log |\Sigma|$ is the maximum capacity of a single site.

c) A bootstrap lemma



Surjective CA + zero-range noise

Theorem [Marcovici, T. (2018)]

A perturbation of a surjective CA with a positive zero-range noise is ergodic <u>provided that</u> both the CA and the noise preserve the same Bernoulli measure.

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Use pressure instead of entropy.

Use a characterization of when a surjective CA preserves a Bernoulli measure [Kari, T. (2015)].



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Use a characterization of when a surjective CA preserves a Bernoulli measure [Kari, T. (2015)].

The pressure of a discrete random variable A w.r.t. an energy functional f is

$$\Psi_f(A) := H(A) - \mathbb{E}[f(A)]$$
.

It can be thought of as a contorted version of entropy.

Theorem [Marcovici, T. (2018)]

Every positive-rate PCA that has a Bernoulli invariant measure is ergodic.

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 - → Holley (1971), Holley and Stroock (1976) for IPS
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- ► With the exception of Holley and Stroock (1976), the entropy method has been limited to shift-invariant starting measures.

[Our result doesn't have this limitation.]

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Conjecture 2

Every (local) positive-rate IPS that has a <u>Gibbs invariant measure</u> converges to the set of Gibbs measures with the same specification.

Entropy method for Markov processes

As a warm-up, consider the . . .

Convergence theorem of Markov chains

A finite-state Markov chain is ergodic provided that it is irreducible and aperiodic.

[Convergence is exponentially fast!]

Different proofs

- Using Perron–Frobenius theory
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The entropy of a discrete random variable A taking values in a finite set Σ is

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- (continuity) H(A) is continuous.

 $[\ldots$ as a function of the distribution of A]

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If $M < \log |\Sigma|$, then by compactness and continuity, we can find $A \xrightarrow{\theta} B$ with $H(A) = H(B) < \log |\Sigma|$, a contradiction.

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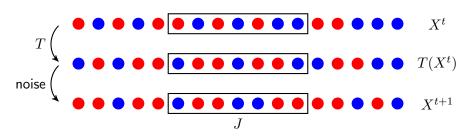
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Proof of exponential convergence.

It follows from Fact II' that

$$H(X^t) \ge \log |\Sigma| - \underbrace{(1-\kappa)^t \left[\log |\Sigma| - H(X^0)\right]}_{0}$$
.



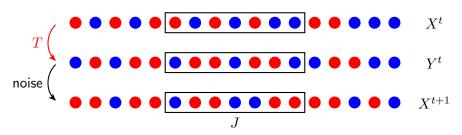
Note

- The uniform Bernoulli measure is stationary.
- ▶ In order to prove ergodicity, it is enough to show that for every finite set of sites J,

$$H(X_J^t) o |J| \, \hbar \qquad \text{as } t o \infty$$

where $\hbar \coloneqq \log |\Sigma|$ is the maximum capacity of each site.

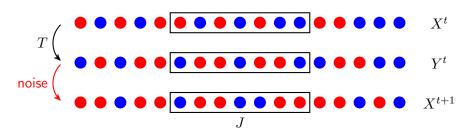




Effect of a surjective CA

A surjective CA does not "erase" entropy, only "diffuses" it:

$$H(Y_J^t) \ge H(X_J^t) - O(|\partial J|)$$



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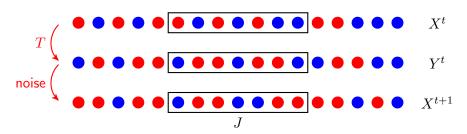
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Effect of additive noise

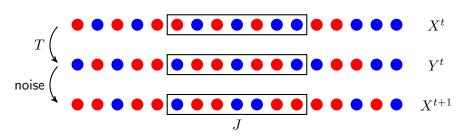
Additive noise increases entropy: \exists constant $0 < \kappa \le 1$ s.t.

$$H(X_J^{t+1}) \ge \kappa |J| \, \hbar + (1 - \kappa) H(Y_J^t)$$



Combined effect

$$H(X_J^{t+1}) \ge \kappa |J| \, \hbar + (1-\kappa) H(X_J^t) - O(|\partial J|) \; .$$



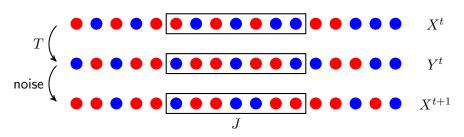
Combined effect

$$H(X_J^{t+1}) \geq \kappa \left| J \right| \hbar + (1-\kappa) H(X_J^t) - O(|\partial J|) \; .$$

which implies

$$H(X_J^t) \ge \left[1 - (1 - \kappa)^t\right] |J| \hbar - O(|\partial J|).$$

for each $t \geq 0$.



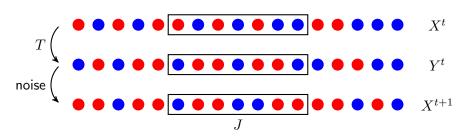
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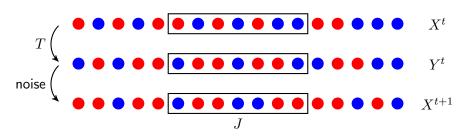
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relatively smaller

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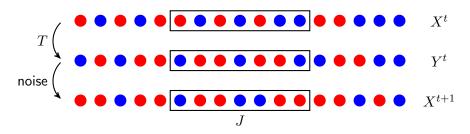
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Evolution of entropy

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A bootstrap lemma

The above implies ergodicity!

Intuitively:

Addition of entropy is much faster than its diffusion.

⇒ entropy accumulates!



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Key points

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Thank you for your attention!

