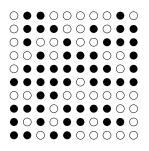
# Restricted density classification in one dimension

Siamak Taati Leiden University, The Netherlands

AUTOMATA 2015 — Turku, June 2015



Given: an array of symbols

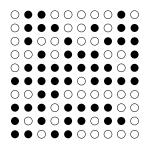
Task: Determine which symbol is in majority.

#### Requirements

► A cellular automaton algorithm

- Output: must reach consensus on the majority symbol
- Scalability: must work for arrays of arbitrary size





Given: an array of symbols

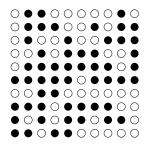
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- [say, with periodic boundary]
  [using no extra symbols!]
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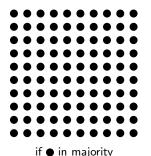
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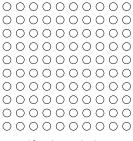
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Poguiromento

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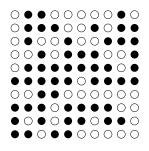
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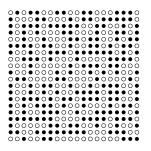
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Unfortunately ... (Land and Belew, 1995)

No perfect solution exists!

#### Natural relaxation

classify correctly with "high probability"

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#### **Terminology**

- ▶ A configuration of symbols:  $x : \mathbb{Z} \to \{\bigcirc, \bullet\}$
- ▶ A cellular automaton (CA):  $T : \{ \bigcirc, \bullet \}^{\mathbb{Z}} \to \{ \bigcirc, \bullet \}^{\mathbb{Z}}$
- T classifies x according to density if

$$T^t x \to \texttt{all-} \bullet \quad \text{if density}_{ullet}(x) > 1/2, \\ T^t x \to \texttt{all-} \circ \quad \text{if density}_{ullet}(x) < 1/2.$$

as 
$$t \to \infty$$
. [convergence  $\equiv$  site-wise eventual agreement]

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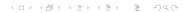
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as  $t \to \infty$ . [convergence  $\equiv$  site-wise eventual agreement]

#### Task (relaxed)

Classify random configurations with high probability. [Random=?]



## Classification of random configurations

$$\cdots \bullet \circ \bullet \bullet \circ \circ \bullet \circ \circ \bullet \circ \circ \bullet \circ \bullet \circ \bullet \circ \circ \circ \circ X$$

#### More specifically . . .

Let X be a configuration chosen at random,  $X_i = \begin{cases} \bullet & \text{with prob. p,} \\ \circ & \text{with prob. } 1-p. \end{cases}$ 

Then,  $density_{\mathbf{a}}(X) = p$  almost surely. [by the law of large numbers]

#### Task (relaxed)

$$\begin{array}{ll} T^t X \to \mathtt{all} - \bullet & \text{if } \mathfrak{p} > 1/2, \\ T^t X \to \mathtt{all} - \circlearrowleft & \text{if } \mathfrak{p} < 1/2. \end{array}$$

with high probability.

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[by the law of large numbers]

#### Task (relaxed)

$$T^tX \to all - \bullet$$
 if  $p > 1/2$ ,  $T^tX \to all - \circ$  if  $p < 1/2$ .

with high probability. probability 1.

#### Note!

The distribution of X is shift-invariant and ergodic, and  $\{T^tX \to all - \bullet\}$  and  $\{T^tX \to all - \circ\}$  are shift-invariant events.



## Classification of coin-flip configurations

#### Task (almost-sure classification)

$$\begin{array}{ll} T^t X \to \mathtt{all} - \bullet & \text{if } \mathfrak{p} > 1/2, \\ T^t X \to \mathtt{all} - \circlearrowleft & \text{if } \mathfrak{p} < 1/2. \end{array}$$

with probability 1.

#### Question

Is there a CA that classifies coin-flip configurations almost surely?

[i.e., for any 
$$0 \le p \le 1$$
]

In ≥ 2 dimensions (Bušić, Fatès, Mairesse, Marcovici, 2013)

Perfect solution: Toom's NEC rule!

#### In 1 dimension

- ▶ Not known! But there are candidates . . .
- ▶ Modest goal: when the bias is strong . . .



- Density classification as recovery from noise
- What if there is noise at every step?
  - ▶ Do all-○ and all-● remain stable?
    - → In 2d: Toom's NEC rule (Toom, 1974, 1980)
    - → In 1d: Same candidates ...
      - Gács's sophisticated construction (1986, 2001)
- Density classification as a percolation problem
  - Do contaminations survive (without escaping to infinity)? [Contaminations can only spread through neighbours.]
- ▶ Sharp phase transition in the behaviour when varying p

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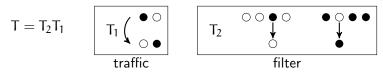
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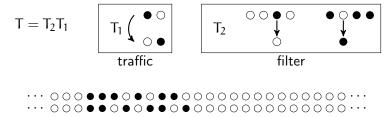
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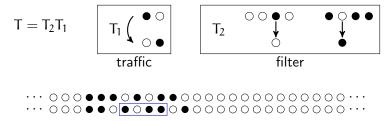
A finite island of ● in a sea of ○





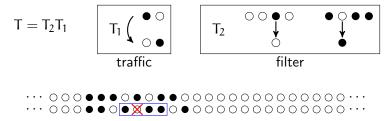
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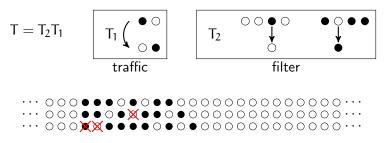
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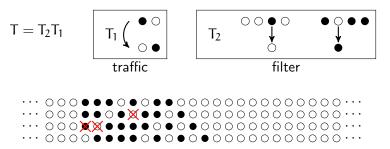
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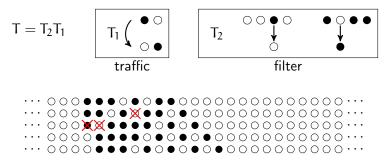
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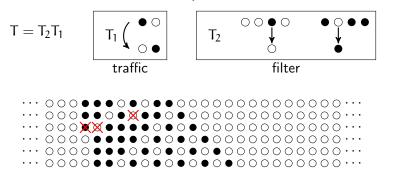
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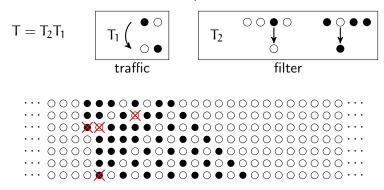
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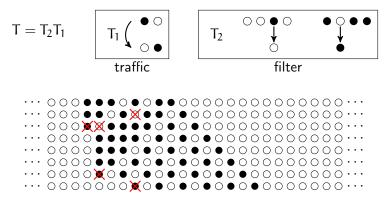
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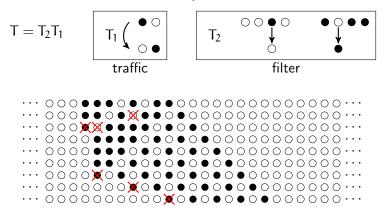
A finite island of  $\bullet$  in a sea of  $\bigcirc$ 





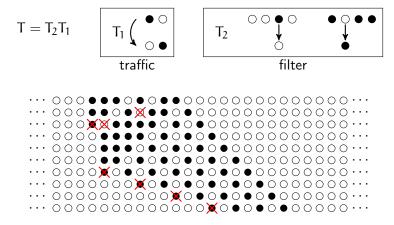
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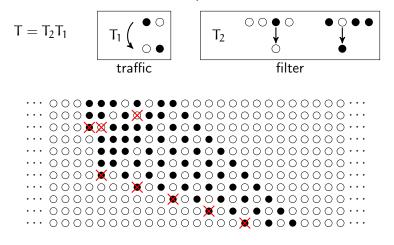
A finite island of ● in a sea of ○





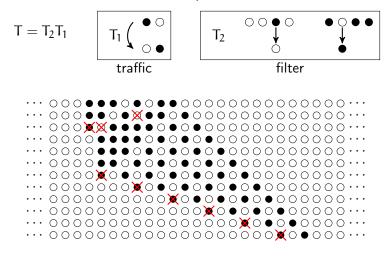
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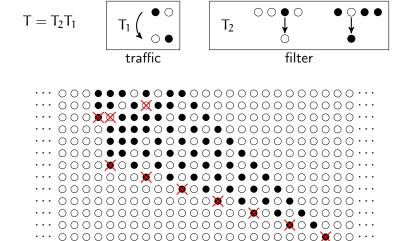




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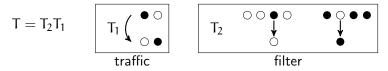
# Candidate I: modified traffic (Kari and Le Gloanec, 2012)



The island is washed out!



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Theorem (Kari and Le Gloannec, 2012)

Every finite island is eventually washed out! An island of length l is washed out within 2l steps.

# Candidate II: GKL (Gács, Kurdyumov, Levin, 1978)

$$(Tx)_i \triangleq \begin{cases} \operatorname{maj}(x_{i-3}, x_{i-1}, x_i) & \text{if } x_i = \emptyset, \\ \operatorname{maj}(x_i, x_{i+1}, x_{i+3}) & \text{if } x_i = \bullet, \end{cases}$$

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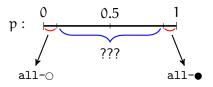
### Theorem (de Sá and Maes, 1992)

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#### Restricted classification

### Theorem (T., 2014)

Let T be modified traffic or GKL. Then, T classified a biased coin flip configuration almost surely correctly provided the bias is strong.

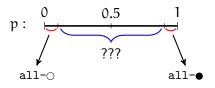


Recall: 
$$p \triangleq \mathbb{P}(X_i = \bullet)$$

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Let T be modified traffic or GKL. Then, T classified a biased coin flip configuration almost surely correctly provided the bias is strong.



Recall: 
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#### Remark I

This shows a phase transition when p is varied.

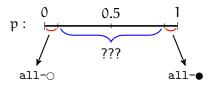
However, it doesn't rule out other phases in between.



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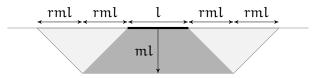
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#### Remark II

By symmetry, we can focus on p close to 0.



### Washing out finite islands (in linear time)



Washing out an island of length l in ml steps r: neighbourhood radius of the CA

Examples: – modified traffic and GKL with m = 2– (also Toom's NEC rule)

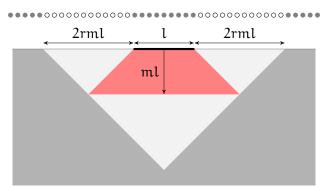
#### Claim

Suppose T washes out finite islands of  $\bullet$  in background of  $\bigcirc$  in linear time. Then,

 $\mathsf{T}^t X \to \mathtt{all} \text{--} \bigcirc$  almost surely

if X is a coin flip configuration with p close to 0.

#### Isolated islands



An isolated island has a sufficiently wide margin of  $\bigcirc$ 

#### Observation

An isolated island disappears before sensing or affecting the rest of the configuration.

 $\Rightarrow$  removing an isolated island from a configuration x does not affect whether  $T^tx \to all - \bigcirc$  or not.



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Cleaning isolated islands makes larger islands isolated!

Cleaning procedure [Gács, 1986, 2001, Durand, Romashchenko, Shen, 2012] Clean isolated islands recursively.

We call a configuration sparse if the cleaning procedure eventually cleans every •.

 $\longrightarrow$  Decompose a sparse configuration x into a family of islands  $\mathcal{I}(x)$ .

#### Question



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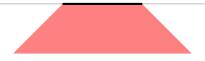
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#### Question

Is sparseness enough for  $\mathsf{T}^t \to \mathtt{all}\text{--}\!\!\!?$ 



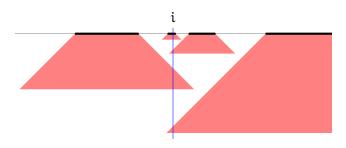
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#### Question



Site i may be changed infinitely often!

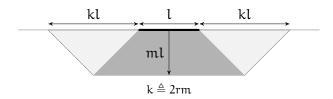
We call a sparse configuration x strongly sparse if every site is in the territory of at most finitely many islands in  $\mathcal{I}(x)$ .

[territory = island and its margin]

#### Observation

 $\mathsf{T}^t x \to \mathtt{all} - \!\!\! \bigcirc$  if x is strongly sparse.





### Theorem (Gács, 1986, 2001, Durand, Romashchenko, Shen, 2012)

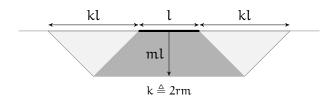
A biased coin flip configuration X is almost surely strongly sparse if the parameter p is sufficiently close to 0.

More precisely: it is enough that  $p < (2k)^{-2}$ 

#### **Examples**

For modified traffic and GKL, m = 2 and r = 3, so k = 12.

 $\Rightarrow$  X is classified almost surely correctly if p < 0.0017 or p > 0.9983.



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[Gács, 1986, 2001, Durand, Romashchenko, Shen, 2012]

Choose an appropriate sequence  $l_1 < l_2 < l_3 < \cdots \mbox{ of lengths.}$  [to be determined  $\ldots$  ]

### Cleaning procedure: Version II

- 1. Clean all isolated islands of length  $\leq l_1$ .
- 2. Clean all isolated islands of length  $\leq l_2$ .
- 3. ...

Note: The notion of sparseness does not change!

#### Question

What is the probability that site  $\mathfrak u$  has state  $\bullet$  after  $\mathfrak n$  cleaning steps?

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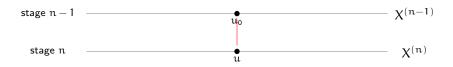
#### Question

What is the probability that site u has state  $\bullet$  after n cleaning steps?

Explanation tree



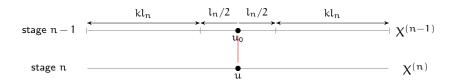
### Explanation tree



If site u has state  $\bullet$  at stage n, then

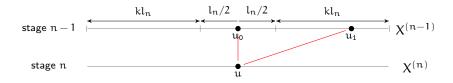
▶  $\mathfrak{u}$  must have state • at stage  $\mathfrak{n}-1$ , and

### Explanation tree



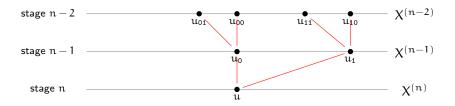
- ▶  $\mathfrak{u}$  must have state at stage  $\mathfrak{n}-1$ , and
- $\mathfrak u$  must not be inside an isolated island of length  $\leq l_n$ .

#### Explanation tree



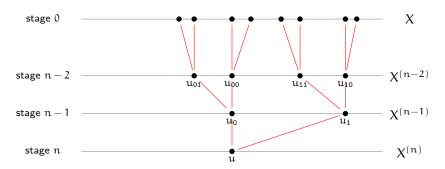
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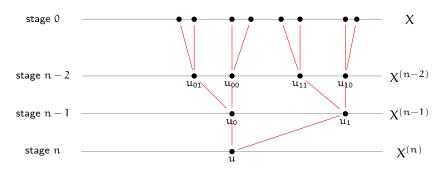
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#### Explanation tree



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#### Explanation tree



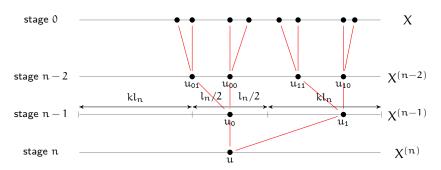
#### Probability of a tree

Choose  $l_n \triangleq (4k+3)^{n-1}$  to sure the leaves are distinct!

 $\mathbb{P}(\text{a given tree is an explanation}) = p^{2^n}$ 



### Explanation tree



#### Number of a tree

Recursive inequality:  $f_n \leq 2kl_n \times f_{n-1}^2$ .

$$\#$$
 of trees  $\leq (2k)^{2^{n+1}}$ 

### Probability of a tree

 $\mathbb{P}(\text{a given tree is an explanation}) = p^{2^n}$ 

#### Number of a tree

$$\#$$
 of trees  $\leq (2k)^{2^{n+1}}$ 

### Probability of survival after n cleaning steps

At least one explanation tree must exist!

$$\mathbb{P}(X_{u}^{(n)} = \bullet) \le (2k)^{2^{n+1}} p^{2^{n}} = ((2k)^{2} p)^{2^{n}}$$

which  $\rightarrow$  0 as long as p <  $(2k)^{-2}$ .

### Probability of survival after n cleaning steps

$$\mathbb{P}(X_u^{(n)} = \bullet) \le \left( (2k)^2 p \right)^{2^n}$$

which  $\rightarrow$  0 as long as p <  $(2k)^{-2}$ .

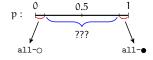
Corollary: sparseness

X is sparse as long as  $p < (2k)^{-2}$ .

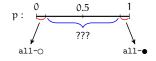
Corollary: strong sparseness

X is strongly sparse as long as  $p < (2k)^{-2}$ . [by Borel-Cantelli . . . ]

Q.E.D.

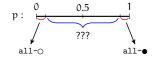


- ► Random configurations with other distributions Sparseness? Classification? [Markov, Gibbs, ...]
- Would sparseness approach work for probabilistic CA?
  - Fatès, 2013: majority-traffic
  - Noisy version of majority
- Noise at each step: modified traffic and GKL
- Washing out errors on tilings and subshifts of finite type
  - Törmä: one-dimensional SFT [in progress]
  - Marcovici and T.: 2d NE-deterministic (extra symbols)

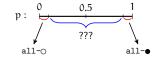


- ► Random configurations with other distributions

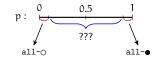
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# Thank you for your attention!